

RIA Database

PRODUCT OVERVIEW

www.RIADatabase.com

Product Overview, Fact Sheet & Pricing Information
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RIA Database provides searchable, Web-based databases for the financial industry. We offer data on RIAs, Bank/Trust institutions, Registered Reps, and Broker/Dealers.

Our clients:

RIA Database clients include some of the largest financial services firms in the world. We service more than 400 firms in several markets:

- Clearing firms
- Registered Investment Advisers
- Mutual fund companies
- ETF managers
- Separate account managers
- Hedge funds
- Private investment partnerships
- Technology providers
- Compliance consultants
- Publishers
- Research firms
- Recruiters

RIA Database

RIA Database maintains current contact information and profiles on over 31,000 registered investment advisers (RIAs), 150,000 contacts and 55,000 email addresses. We provide this data through an easy-to-use, Web-based interface that allows users to search, profile and download data based on more than 125 relevant fields.

Registered Rep / Broker / Dealer Database

We maintain current contact information and profiles on over 650,000 registered representatives (RRs), 300,000 email addresses at more than 6,500 broker/dealer firms. We provide this data through an easy-to-use, Web-based interface that allows users to search based on several relevant fields.

Bank/Trust Database

We maintain current contact information and profiles on over 8,500 bank/trust institutions, 8,000 email addresses and 9,000 bank/trust key contacts. We provide this data through an easy-to-use, Web-based interface that allows users to search based on several relevant fields.

Service:

We have a team of professionals that train and service our clients. We offer timely data scrubbing and list matching services including:

- Conference list matching
- Hard or soft copy list matching
- Sales data scrubbing
- Sales data analysis
- CRM scrubbing and integration

Cost:

RIA Database

\$5,000 for 1 user, \$500 for each additional user, annually. This is for national access, and includes unlimited access, unlimited download functionality and unlimited custom list support throughout the year. \$750 per user, per year, per state, annually. This is for state-by-state access, and includes unlimited access for chosen states, unlimited download functionality and unlimited custom list support throughout the year.

Registered Rep / Broker / Dealer Database

\$12,000 for 1 user, \$500 for each additional user, annually. This is for national access, and includes unlimited access, unlimited download functionality and unlimited custom list support throughout the year. \$1,000 per user, per year, per state annually. This is for state-by-state access, and includes unlimited access for chosen states, unlimited download functionality and unlimited custom list support throughout the year.

If the RIA Database is purchased with the RR/B/D Database, the national subscription is \$15,000 for 1 user, \$1,000 for each additional user on both systems. This is a discount of \$2,000 annually.

Bank/Trust Database

\$3,000 for 1 user, \$500 for each additional user, annually. This is for national access, and includes unlimited access, unlimited download functionality and unlimited custom list support throughout the year. \$750 per user, per year, per state, annually. This is for state-by-state access, and includes unlimited access for chosen states, unlimited download functionality and unlimited custom list support throughout the year.